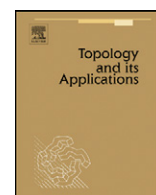




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Rothberger's property in all finite powers

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ABSTRACT

A space X has the Rothberger property in all finite powers if, and only if, its collection of ω -covers has Ramseyan properties.

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For $s \in [\mathbb{N}]^{<\aleph_0}$ and for $B \in [\mathbb{N}]^{\aleph_0}$ use $s < B$ to denote that $s = \emptyset$ or $\max(s) < \min(B)$. For $s < B$ define $[s, B] = \{s \cup C \in [\mathbb{N}]^{\aleph_0} : s < C \subseteq B\}$. The family $\{[s, B] : s \subset \mathbb{N} \text{ finite and } s < B \in [\mathbb{N}]^{\aleph_0}\}$ forms a basis for a topology on $[\mathbb{N}]^{\aleph_0}$. This is the *Ellentuck topology* on $[\mathbb{N}]^{\aleph_0}$ and was introduced in [3].

Recall that a subset N of a topological space is *nowhere dense* if there is for each nonempty open set U of the space a nonempty open subset $V \subset U$ such that $N \cap V = \emptyset$. And N is said to be *meager* if it is a union of countably many nowhere dense sets. A subset of a topological space is said to have the *Baire property* if it is of the form $(U \setminus M) \cup (M \setminus U)$ for some open set U and some meager set M .

Theorem 1 (Ellentuck). *For a set $R \subset [\mathbb{N}]^{\aleph_0}$ the following are equivalent:*

- (1) *R has the Baire property in the Ellentuck topology.*
- (2) *For each finite set $s \subset \mathbb{N}$ and for each infinite set $S \subset \mathbb{N}$ with $s < S$ there is an infinite set $T \subset S$ such that either $[s, T] \subset R$, or else $[s, T] \cap R = \emptyset$.*

The proof of $(1) \Rightarrow (2)$ is nontrivial but uses only the techniques of Galvin and Prikry [5]. Galvin and Prikry proved a precursor of Theorem 1: If R is a Borel set in the topology inherited from $2^{\mathbb{N}}$ via representing sets by their characteristic functions, then R has property (2) in Theorem 1. Silver and Mathias subsequently gave metamathematical proofs that analytic sets (in the $2^{\mathbb{N}}$ -topology) have this property. Theorem 1 at once yields all these prior results. The original papers [3] and [5] give a nice overview of these facts, and more.

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When a subset \mathcal{X} of $[\mathbb{N}]^{\aleph_0}$ inherits the Ellentuck topology from $[\mathbb{N}]^{\aleph_0}$, we shall speak of “ \mathcal{X} with the Ellentuck topology”. For A an abstract countably infinite set define the Ellentuck topology on $[A]^{\aleph_0}$ by fixing a bijective enumeration $(a_n: n \in \mathbb{N})$ of A and by defining for s and T nonempty subsets of A :

$$s < T \quad \text{if: } a_n \in s \text{ and } a_m \in T \Rightarrow n < m.$$

With the relation $s < T$ defined, define the Ellentuck topology on $[A]^{\aleph_0}$ as above. For $B \subseteq A$ and for finite set $s \subseteq A$ we write $B|s$ for $\{a_n \in B: s < \{a_n\}\}$.

For families \mathcal{A} and \mathcal{B} we now define a sequence of statements:

- $E(\mathcal{A}, \mathcal{B})$: For each countably infinite $A \in \mathcal{A}$ and for each set $R \subset [A]^{\aleph_0} \cap \mathcal{B}$ the implication $(1) \Rightarrow (2)$ holds, where:
- (1) R has the Baire property in the Ellentuck topology on $[A]^{\aleph_0} \cap \mathcal{B}$.
 - (2) For each $S \subset A$ with $S \in \mathcal{A}$ and each finite subset s of A , there is an infinite $B \subset S|s$ with $B \in \mathcal{B}$ such that $[s, B] \cap \mathcal{B} \subseteq R$ or $[s, B] \cap \mathcal{B} \cap R = \emptyset$.

Thus, $E([\mathbb{N}]^{\aleph_0}, [\mathbb{N}]^{\aleph_0})$ is Ellentuck’s theorem.

$GP(\mathcal{A}, \mathcal{B})$: For each countably infinite $A \in \mathcal{A}$ and each $R \subset [A]^{\aleph_0} \cap \mathcal{B}$ the implication $(1) \Rightarrow (2)$ holds:

- (1) R is open in the $2^{\mathbb{N}}$ topology on $[A]^{\aleph_0} \cap \mathcal{B}$.
- (2) For each $S \in [A]^{\aleph_0} \cap \mathcal{A}$ there is a set $B \in [S]^{\aleph_0} \cap \mathcal{B}$ such that either $([B]^{\aleph_0} \cap \mathcal{B}) \subseteq R$, or else $[B]^{\aleph_0} \cap \mathcal{B} \cap R = \emptyset$.

Thus, $GP([\mathbb{N}]^{\aleph_0}, [\mathbb{N}]^{\aleph_0})$ is part of the Galvin–Prikry theorem.

Definition 1. A subset \mathcal{S} of $[A]^{<\aleph_0}$ is:

- (1) dense if for each $B \in [A]^{\aleph_0} \cap \mathcal{A}$, $\mathcal{S} \cap [B]^{<\aleph_0} \neq \emptyset$.
- (1) thin if no element of \mathcal{S} is an initial segment of another element of \mathcal{S} .

The following is an abstract formulation of Galvin’s generalization of Ramsey’s Theorem, announced in [4] and in [5] derived from Theorem 1 there:

$FG(\mathcal{A}, \mathcal{B})$: For each countably infinite $A \in \mathcal{A}$ and for each dense set $\mathcal{S} \subset [A]^{<\aleph_0}$ there is a $B \in [A]^{\aleph_0} \cap \mathcal{B}$ such that each $C \in [B]^{\aleph_0} \cap \mathcal{B}$ has an initial segment in \mathcal{S} .

In this notation Galvin’s generalization of Ramsey’s theorem reads that $FG([\mathbb{N}]^{\aleph_0}, [\mathbb{N}]^{\aleph_0})$. Similarly, the following is an abstract formulation of Nash–Williams’ theorem:

$NW(\mathcal{A}, \mathcal{B})$: For each countably infinite $A \in \mathcal{A}$ and for each thin family $\mathcal{T} \subset [A]^{<\aleph_0}$ and for each n , and each partition $\mathcal{T} = \mathcal{T}_1 \cup \mathcal{T}_2 \cup \dots \cup \mathcal{T}_n$ there is a $B \in [A]^{\aleph_0} \cap \mathcal{B}$ and an $i \in \{1, \dots, n\}$ such that $[B]^{<\aleph_0} \cap \mathcal{T} \subseteq \mathcal{T}_i$.

In this notation Nash–Williams’ theorem reads that $NW([\mathbb{N}]^{\aleph_0}, [\mathbb{N}]^{\aleph_0})$.

$\mathcal{A} \rightarrow (\mathcal{B})_k^n$: For positive integers n and k and for each countable $A \in \mathcal{A}$ and for each function $f: [A]^n \rightarrow \{1, \dots, k\}$ there is a $B \in [A]^{\aleph_0} \cap \mathcal{B}$ and an $i \in \{1, \dots, k\}$ such that f has value i on $[B]^n$.

In this notation Ramsey’s theorem reads: For each n and k , $[\mathbb{N}]^{\aleph_0} \rightarrow ([\mathbb{N}]^{\aleph_0})_k^n$.

An open cover \mathcal{U} of a topological space X is said to be an ω -cover if $X \notin \mathcal{U}$, but there is for each finite set $F \subset X$ a $U \in \mathcal{U}$ with $F \subseteq U$. The symbol Ω_X denotes the collection of ω -covers of X . The symbol \mathcal{O}_X denotes the collection of open covers of X . In [9] Rothberger introduced the following covering property: For each sequence $(\mathcal{U}_n: n \in \mathbb{N})$ of open covers of X there is a sequence $(U_n: n \in \mathbb{N})$ such that each $U_n \in \mathcal{U}_n$, and $\{U_n: n \in \mathbb{N}\}$ is a cover of X . The symbol $S_1(\mathcal{O}_X, \mathcal{O}_X)$ denotes this statement. The corresponding statement for ω -covers of X , $S_1(\Omega_X, \Omega_X)$, was introduced in [10] by Sakai. It states: For each sequence $(\mathcal{U}_n: n \in \mathbb{N})$ of ω -covers of X there is a sequence $(U_n: n \in \mathbb{N})$ such that each $U_n \in \mathcal{U}_n$, and $\{U_n: n \in \mathbb{N}\}$ is an ω cover for X . Sakai proved that X has $S_1(\Omega_X, \Omega_X)$ if, and only if, all finite powers of X have $S_1(\mathcal{O}_X, \mathcal{O}_X)$. According to Gerlits and Nagy [6] a space is said to be an ϵ -space if each ω -cover contains a countable subset which still is an ω -cover. A space is an ϵ -space if and only if it has the Lindelöf property in all finite powers—see [6] for details. In this paper we prove:

Theorem 2. For an ϵ -space X , the following are equivalent:

- (1) $S_1(\Omega_X, \Omega_X)$.
- (2) $E(\Omega_X, \Omega_X)$.

- (3) $\text{GP}(\Omega_X, \Omega_X)$.
- (4) $\text{FG}(\Omega_X, \Omega_X)$.
- (5) $\text{NW}(\Omega_X, \Omega_X)$.
- (6) For all n and k , $\Omega_X \rightarrow (\Omega_X)_k^n$.
- (7) $\Omega_X \rightarrow (\Omega_X)_2^2$.

1. The proof of $\mathbf{S}_1(\Omega_X, \Omega_X) \Rightarrow \mathbf{E}(\Omega_X, \Omega_X)$

Assume that X has property $\mathbf{S}_1(\Omega_X, \Omega_X)$. Fix a countable $A \in \Omega_X$ and fix a set $R \subset [A]^{\aleph_0} \cap \Omega_X$. For the remainder of the argument, fix a bijective enumeration of A , say $(a_n: n \in \mathbb{N})$. Sets of the form $[s, C] = \{D: s < C \text{ and } s \subset D \subseteq s \cup C\}$ constitute a basis for the Ellentuck topology on $[A]^{\aleph_0}$.

Definition 2. For a finite set $s \subset A$ and for $B \in [A|s]^{\aleph_0} \cap \Omega_X$:

- (1) B accepts s if $[s, B] \cap \Omega_X \subseteq R$.
- (2) B rejects s if no $C \in [B]^{\aleph_0} \cap \Omega_X$ accepts s .

Lemma 3 will be used without special reference:

Lemma 3. Let a finite set $s \subset A$ and a set $B \in [A|s]^{\aleph_0} \cap \Omega_X$ be given:

- (1) B accepts s if, and only if, each $C \in [B]^{\aleph_0} \cap \Omega_X$ accepts s .
- (2) B rejects s if, and only if, each $C \in [B]^{\aleph_0} \cap \Omega_X$ rejects s .

Lemma 4. For each finite set $s \subset A$, there is a $B \in [A|s]^{\aleph_0} \cap \Omega_X$ such that B accepts s or B rejects s .

Proof. If $A|s$ does not reject s , choose a $B \in [A|s]^{\aleph_0} \cap \Omega_X$ accepting s . \square

Lemma 5. Let $t \subset A$ be a finite set. Let $B \in [A]^{\aleph_0} \cap \Omega_X$ be such that for each finite set $s \subset (t \cup B)$, $B|s$ accepts s or $B|s$ rejects s . If $B|t$ rejects t then $C = \{u \in B: B|(t \cup \{u\}) \text{ rejects } t \cup \{u\}\}$ is a member of Ω_X .

Proof. Suppose not. Then $D = t \cup (B \setminus C) \in \Omega_X$, and for each $u \in D|t$, $B|(t \cup \{u\})$ accepts $t \cup \{u\}$. Thus for each $u \in D|t$, $D|(t \cup \{u\})$ accepts $t \cup \{u\}$. This means that $[t, D|t] = \bigcup_{u \in D|t} [t \cup \{u\}, D|(t \cup \{u\})] \subseteq R$, and so $D|t$ accepts t . This contradicts Lemma 3(2) since $D \in [B]^{\aleph_0} \cap \Omega_X$ and $B|t$ rejects t . \square

1.1. ω -covers accepting or rejecting all finite subsets

The game $\mathbf{G}_1(\Omega_X, \Omega_X)$ is played as follows: Players ONE and TWO play an inning per positive integer. In the n th inning ONE first chooses an $O_n \in \Omega_X$; TWO responds with a $T_n \in O_n$. A play $O_1, T_1, \dots, O_n, T_n, \dots$ is won by TWO if $\{T_n: n \in \mathbb{N}\} \in \Omega_X$; else, ONE wins. It was shown in [13] that

Theorem 6. For a topological space Y the following are equivalent:

- (1) Y has property $\mathbf{S}_1(\Omega_Y, \Omega_Y)$.
- (2) ONE has no winning strategy in $\mathbf{G}_1(\Omega_Y, \Omega_Y)$.

Theorem 7. If Y has property $\mathbf{S}_1(\Omega_Y, \Omega_Y)$, then for each finite set $t \subset A$ and for each $B \in [A|t]^{\aleph_0} \cap \Omega_Y$ there is a $C \in [B]^{\aleph_0} \cap \Omega_Y$ such that for each finite set $s \subset t \cup C$, $C|s$ accepts s or $C|s$ rejects s .

Proof. Let t and $B \in [A|t]^{\aleph_0} \cap \Omega_Y$ be given. Define a strategy σ for ONE of $\mathbf{G}_1(\Omega_Y, \Omega_Y)$ as follows:

Enumerate the set of all subsets of t as $\{t_1, \dots, t_n\}$. Using Lemma 4 recursively choose $B_1 \supset B_2 \supset \dots \supset B_n$ in $[B]^{\aleph_0} \cap \Omega_Y$ such that for each i , B_i accepts t_i or B_i rejects t_i . Then define:

$$\sigma(\emptyset) = B_n.$$

If TWO now chooses $T_1 \in \sigma(\emptyset)$ then use Lemma 4 in the same way to choose

$$\sigma(T_1) \in [\sigma(\emptyset)|\{T_1\}]^{\aleph_0} \cap \Omega_Y$$

such that for each set $F \subset t \cup \{T_1\}$, $\sigma(T_1)$ accepts F , or rejects F .

When TWO responds with $T_2 \in F(T_1)$, enumerate the subsets of $t \cup \{T_1, T_2\}$ as (t_1, \dots, t_n) say, and choose by Lemma 4 sets $B_1, \dots, B_n \in [\sigma(T_1) \cup \{T_2\}]^{\aleph_0} \cap \Omega_X$ such that B_j accepts t_j or B_j rejects t_j for $1 \leq j \leq n$ and $B_j \subset B_{j-1}$. Finally put

$$\sigma(T_1, T_2) = B_n.$$

Note that for each finite subset F of $t \cup \{T_1, T_2\}$, $\sigma(T_1, T_2)$ accepts F or rejects it.

It is clear how player ONE's strategy is defined. By Theorem 6 σ is not a winning strategy for ONE. Consider a σ -play lost by ONE, say

$$\sigma(\emptyset), T_1, \sigma(T_1), T_2, \sigma(T_1, T_2), \dots, T_n, \sigma(T_1, \dots, T_n), \dots$$

Then $C = t \cup \{T_n : n \in \mathbb{N}\} \subset B$ is an element of Ω_Y .

We claim that for each finite subset s of $t \cup C$, $C|s$ accepts s or $C|s$ rejects s . For consider such a s . If $s \subseteq t$, then as $C \subset F(\emptyset)$ and $F(\emptyset)$ accepts or rejects s , also C does. If $s \not\subseteq t$, then put $n = \max\{m : T_m \in s\}$. Then s is a subset of $t \cup \{T_1, \dots, T_n\}$, so that s is accepted or rejected by $\sigma(T_1, \dots, T_n)$. But $C|s \subseteq \sigma(T_1, \dots, T_n)$, and so $C|s$ accepts or rejects s . \square

1.2. Completely Ramsey sets

The subset R of $[A]^{\aleph_0} \cap \Omega_X$ is said to be *completely Ramsey* if there is for each finite set $s \subset A$ and for each $B \in [A|s]^{\aleph_0} \cap \Omega_X$ a set $C \in [B]^{\aleph_0} \cap \Omega_X$ such that

- (1) either $([s, C] \cap \Omega_X) \subseteq R$,
- (2) or else $([s, C] \cap \Omega_X) \cap R = \emptyset$.

Lemma 8. *If R and S are completely Ramsey subsets of $[A]^{\aleph_0} \cap \Omega_X$, then so is $R \cup S$.*

Proof. Let a finite set $s \subset A$ and $B \in [A|s]^{\aleph_0} \cap \Omega_X$ be given. Since R is completely Ramsey, choose $C \in [B]^{\aleph_0} \cap \Omega_X$ such that $([s, C] \cap \Omega_X) \subset R$, or $([s, C] \cap \Omega_X) \cap R = \emptyset$. If the former hold we are done. In the latter case, since S is completely Ramsey, choose $D \in [C]^{\aleph_0} \cap \Omega_X$ such that $([s, D] \cap \Omega_X) \subseteq S$, or $([s, D] \cap \Omega_X) \cap S = \emptyset$. In either case the proof is complete. \square

The following lemma is obviously true.

Lemma 9. *If R is completely Ramsey, then so is $([A]^{\aleph_0} \cap \Omega_X) \setminus R$.*

Corollary 10. *If R and S are completely Ramsey subsets of $[A]^{\aleph_0} \cap \Omega_X$, then so is $R \cap S$.*

Proof. Lemmas 8 and 9, and De Morgan's laws. \square

1.3. Open sets in the Ellentuck topology

We are still subject to the hypothesis that X satisfies $\mathfrak{S}_1(\Omega_X, \Omega_X)$.

Lemma 11. *For each finite set $t \subset A$ and for each $B \in [A|t]^{\aleph_0} \cap \Omega_X$ such that for each finite subset F of $t \cup B$, $B|F$ accepts, or rejects F the following holds: For each finite set $s \subset t \cup B$ such that $B|s$ rejects s , there is a $C \in [B|s]^{\aleph_0} \cap \Omega_X$ such that for each finite set $F \subset C$, $C|F$ rejects $s \cup F$.*

Proof. Fix B and s as in the hypotheses. Define a strategy σ for ONE in $G_1(\Omega_X, \Omega_X)$ as follows: By Lemma 5

$$\sigma(\emptyset) = \{U \in B : s \subset \{U\} \text{ and } B|\{U\} \text{ rejects } s \cup \{U\}\} \in \Omega_X.$$

Notice that $\sigma(\emptyset)$ accepts or rejects each of its finite subsets, it rejects s , and for each $U \in \sigma(\emptyset)$, $\sigma(\emptyset)|\{U\}$ rejects $s \cup \{U\}$.

If TWO now chooses $T_1 \in \sigma(\emptyset)$, then by Lemma 5

$$\sigma(T_1) = \{U \in \sigma(\emptyset) \setminus \{T_1\} : \sigma(\emptyset)|F \text{ rejects } s \cup F \text{ for each finite } F \subset \{T_1, U\}\}$$

is in Ω_X . As before, $\sigma(T_1)$ accepts or rejects each of its finite subsets, and for any $U \in \sigma(T_1)$, for each finite subset F of $\{U, T_1\}$, $\sigma(T_1)|F$ rejects $s \cup F$.

If next TWO chooses $T_2 \in \sigma(T_1)$, then by Lemma 5

$$\sigma(T_1, T_2) = \{U \in \sigma(T_1) \setminus \{T_2\} : \sigma(T_1)|F \text{ rejects } s \cup F \text{ for any finite } F \subset \{T_1, T_2, U\}\}$$

is an element of Ω_X .

Continuing in this way we define a strategy σ for ONE in $G_1(\Omega_X, \Omega_X)$. Since X satisfies $S_1(\Omega_X, \Omega_X)$, σ is not a winning strategy for ONE. Consider a σ -play lost by ONE, say:

$$\sigma(\emptyset), T_1, \sigma(T_1), T_2, \sigma(T_1, T_2), T_3, \sigma(T_1, T_2, T_3), \dots$$

Put $C = \{T_n : n \in \mathbb{N}\}$. Then $C \in [B|s]^{\aleph_0} \cap \Omega_X$. We claim that for each finite set $F \subset C$, $C|F$ rejects $s \cup F$.

For choose a finite set $F \subset C$. Then $F \cap s = \emptyset$. Fix $n = \max\{m : T_m \in F\}$. Then $C|F \subset \sigma(T_1, \dots, T_n)$, and the latter rejects $s \cup F$ for all finite subsets F of $\{T_1, \dots, T_n\}$. Thus $C|F$ rejects $s \cup F$. \square

Theorem 12. *If X has property $S_1(\Omega_X, \Omega_X)$, then every open subset of $[A]^{\aleph_0} \cap \Omega_X$ is completely Ramsey.*

Proof. Let $R \subset [A]^{\aleph_0} \cap \Omega_X$ be open in this subspace. Consider a finite set $s \subset A$ and a $B \in [A|s]^{\aleph_0} \cap \Omega_X$. Since $(X, d) \models S_1(\Omega_X, \Omega_X)$, choose by Theorem 7 a $C \in [B|s]^{\aleph_0} \cap \Omega_X$ such that for each finite set $F \subset (s \cup C)$, $C|F$ accepts or rejects F .

If C accepts s then we have $[s, C] \cap \Omega_X \subseteq R$, and we are done. Thus, assume that C does not accept s . Then C rejects s , and we choose by Lemma 11 a $D \in [C|s]^{\aleph_0} \cap \Omega_X$ such that for each finite subset F of D , $D|F$ rejects $s \cup F$.

We claim that $([s, D] \cap \Omega_X) \cap R = \emptyset$. For suppose not. Choose $E \in [s, D] \cap \Omega_X \cap R$. Since R is open, choose an Ellentuck neighborhood of E contained in R , say $[t, K] \cap \Omega_X$. Then we have $s \subset E \subset s \cup D$ and $t \subset E \subset t \cup K$. But then $s \cup t \subset E \subset t \cup K$ and $[s \cup t, K|s] \subset R$, whence also $[s \cup t, E|(s \cup t)] \subset R$. But then $E|(s \cup t)$ accepts $s \cup t$ where t is a finite subset of $s \cup D$, and $E|(s \cup t) \subset D|t$, and $D|t$ rejects $s \cup t$, a contradiction. \square

1.4. Meager subsets in the Ellentuck topology

If the subset R of $[A]^{\aleph_0} \cap \Omega_X$ is nowhere dense in the topology, then for each $B \in [A|s]^{\aleph_0} \cap \Omega_X$ and for each finite set $s \subset A$, $B|s$ rejects s . We now examine the meager subsets of $[A]^{\aleph_0} \cap \Omega_X$.

Lemma 13. *If R is nowhere dense, then there is for each $B \in [A]^{\aleph_0} \cap \Omega_X$ and each finite set $t \subset A$ a set $C \in [B|t]^{\aleph_0} \cap \Omega_X$ such that for each finite set $s \subset t \cup C$, $C|s$ rejects s .*

Proof. Since R is nowhere dense, no ω -cover contained in A can accept a finite set. Thus each ω -cover contained in A rejects each finite subset of A . \square

Lemma 14. *Assume $S_1(\Omega_X, \Omega_X)$. If R is a closed nowhere dense subset of $[A]^{\aleph_0} \cap \Omega_X$ then there is for each finite subset $s \subset A$ and for each $B \in [A|s]^{\aleph_0} \cap \Omega_X$ a $C \in [B]^{\aleph_0} \cap \Omega_X$ such that $[s, C] \cap R = \emptyset$.*

Proof. First, note that closed nowhere dense subsets are complements of open dense sets. By Theorem 12, each open set is completely Ramsey. By Lemma 9 each closed, nowhere dense set is completely Ramsey. By Lemma 13 the rest of the statement follows. \square

By taking closures, the preceding lemma implies:

Corollary 15. *Assume $S_1(\Omega_X, \Omega_X)$. If R is a nowhere dense subset of $[A]^{\aleph_0} \cap \Omega_X$ then there is for each finite subset $s \subset A$ and for each $B \in [A|s]^{\aleph_0} \cap \Omega_X$ a $C \in [B]^{\aleph_0} \cap \Omega_X$ such that $[s, C] \cap R = \emptyset$.*

And now we prove:

Theorem 16. *Assume $S_1(\Omega_X, \Omega_X)$. For a subset N of $[A]^{\aleph_0} \cap \Omega_X$ the following are equivalent:*

- (1) N is nowhere dense.
- (2) N is meager.

Proof. We must show that (2) \Rightarrow (1). Thus, assume that N is meager and write $N = \bigcup_{n \in \mathbb{N}} N_n$, where for each n we have $N_n \subseteq N_{n+1}$, and N_n is nowhere dense in $[A]^{\aleph_0} \cap \Omega_X$. Consider any basic open set $[s, B]$ of $[A]^{\aleph_0} \cap \Omega_X$. Define a strategy σ for ONE in the game $G_1(\Omega_X, \Omega_X)$ as follows:

Since N_1 is nowhere dense, choose by Corollary 15 an $O_1 \in [B]^{\aleph_0} \cap \Omega_X$ with $[s, O_1] \cap N_1 = \emptyset$. Define $\sigma(\emptyset) = O_1$.

When TWO chooses $T_1 \in \sigma(\emptyset)$ choose by Corollary 15 an $O_2 \in [\sigma(\emptyset)|\{T_1\}]^{\aleph_0} \cap \Omega_X$ with $[s, O_2] \cap N_2 = \emptyset$, and define $\sigma(T_1) = O_2$.

Now when TWO chooses $T_2 \in \sigma(T_1)$, find by Corollary 15 an $O_3 \in [\sigma(T_1)|\{T_2\}]^{\aleph_0} \cap \Omega_X$ with $[s, O_3] \cap N_3 = \emptyset$, and define $\sigma(T_1, T_2) = O_3$.

It is clear how to define ONE's strategy σ . By Theorem 6 F is not a winning strategy for ONE. Consider a play

$$\sigma(\emptyset), T_1, \sigma(T_1), T_2, \dots, \sigma(T_1, \dots, T_n), T_{n+1}, \dots$$

lost by ONE. Put $C = \{T_n : n \in \mathbb{N}\}$. Then $C \in [B]^{\aleph_0} \cap \Omega_X$. Observe that by the definition of σ we have for each k and each finite set $F \subset \{T_1, \dots, T_k\}$ that $[s \cup F, \sigma(T_1, \dots, T_k)] \cap N_k = \emptyset$.

Claim. $[s, C] \cap N = \emptyset$.

For suppose that instead $[s, C] \cap N \neq \emptyset$. Choose $V \in [s, C] \cap N$, and then choose m so that $V \in N_m$. Choose the least $k > m$ with $T_k \in V|s$. This is possible because s is finite. Observe also that $s \subseteq V \subseteq s \cup C = s \cup \{T_j : j \in \mathbb{N}\}$. Put $F = V \cap \{T_1, \dots, T_k\}$. Thus we have that $[s \cup F, V|F] \cap N_k \neq \emptyset$, which contradicts the fact that $V|F \subset \sigma(T_1, \dots, T_k)$, and $[s \cup F, \sigma(T_1, \dots, T_k)] \cap N_k = \emptyset$. This completes the proof of the claim. \square

Using Lemmas 8 and 9 and Corollary 10 we have:

Theorem 17. Suppose X satisfies $S_1(\Omega_X, \Omega_X)$. Then for each $A \in \Omega_X$, every subset of $[A]^{\aleph_0} \cap \Omega_X$ which has the Baire property is completely Ramsey.

2. The proof of $E(\Omega_X, \Omega_X) \Rightarrow S_1(\Omega_X, \Omega_X)$

Note that a set open in the $2^{\mathbb{N}}$ topology is also open in the Ellentuck topology. The implication (2) \Rightarrow (3) of Theorem 2 follows from this remark. Now we start with (3).

Lemma 18. Assume $GP(\Omega_X, \Omega_X)$. Then $FG(\Omega_X, \Omega_X)$ holds.

Proof. Let $S \subset [A]^{<\aleph_0}$ be dense and define \mathcal{I} to be the set $\{D \in [A]^{\aleph_0} \cap \Omega_X : D \text{ has an initial segment in } S\}$. Then we have:

$$\mathcal{I} = \bigcup \{[s, D|s] : s \in S, D \in [A]^{\aleph_0} \cap \Omega_X \text{ and } s \text{ an initial segment of } D\}$$

is a $2^{\mathbb{N}}$ -open subset of $[A]^{\aleph_0} \cap \Omega_X$. Choose a $B \in [A]^{\aleph_0} \cap \Omega_X$ such that $[B]^{\aleph_0} \cap \Omega_X \subset \mathcal{I}$, or $[B]^{\aleph_0} \cap \Omega_X \cap \mathcal{I} = \emptyset$. But the second alternative implies the contradiction that $[B]^{<\aleph_0} \cap S = \emptyset$. It follows that the first alternative holds. \square

Theorem 19. Assume $FG(\Omega_X, \Omega_X)$. Then $NW(\Omega_X, \Omega_X)$ holds.

Proof. Fix a thin family $\mathcal{T} \subset [A]^{<\aleph_0}$ and positive integer n , and a partition $\mathcal{T} = \mathcal{T}_1 \cup \mathcal{T}_2 \cup \dots \cup \mathcal{T}_n$. We may assume $n = 2$. If \mathcal{T}_1 is not dense, we can choose $B \in [A]^{\aleph_0} \cap \Omega_X$ such that $[B]^{<\aleph_0} \cap \mathcal{T} \subseteq \mathcal{T}_2$. Thus, assume \mathcal{T}_1 is dense. Choose, by the hypothesis, a $B \in [A]^{\aleph_0} \cap \Omega_X$ such that for each $C \in [B]^{\aleph_0} \cap \Omega_X$, some initial segment of C is in \mathcal{T}_1 .

Consider any $s \in \mathcal{T} \cap [B]^{<\aleph_0}$, and put $D = s \cup (B|s)$. Then s is an initial segment of D , and $D \in [B]^{\aleph_0} \cap \Omega_X$, and so some initial segment of D , say t , is in \mathcal{T}_1 . Since both t and s are initial segments of D and are both in \mathcal{T} , and since \mathcal{T} is thin, we have $s = t$, and so $s \in \mathcal{T}_1$. Consequently we have $[B]^{<\aleph_0} \cap \mathcal{T} \subseteq \mathcal{T}_1$. \square

Theorem 20. Assume that $NW(\Omega_X, \Omega_X)$ holds. Then for each n and k we have $\Omega_X \rightarrow (\Omega_X)_k^n$.

Proof. Let $A \in \Omega_X$ be countable. Let positive integers n and k be given. Put $\mathcal{T} = [A]^n$. Then \mathcal{T} is thin. Apply the hypothesis. \square

The following theorem was proven in [7] (Theorem 6.1) and [12] (Theorem 24).¹ It, together with the above sequence of implications, completes the proof of Theorem 2.

Theorem 21. The following are equivalent:

- (1) For each n and k , $\Omega_X \rightarrow (\Omega_X)_k^n$
- (2) $X \models S_1(\Omega_X, \Omega_X)$.

¹ See Appendix A.

3. Remarks

The results above are given for Ω , but a study of the proofs will reveal that these equivalences hold for several other families \mathcal{A} . The main requirements on \mathcal{A} are that each element of \mathcal{A} has a countable subset in \mathcal{A} , that for each k $\mathcal{A} \rightarrow (\mathcal{A})_k^1$ holds, and that $S_1(\mathcal{A}, \mathcal{A})$ is equivalent to ONE not having a winning strategy in $G_1(\mathcal{A}, \mathcal{A})$, and that this is equivalent to $\mathcal{A} \rightarrow (\mathcal{A})_2^2$. Though this general treatment can be given without much additional effort, I preferred to illustrate the equivalences using a well-known concrete example, because of the connections of this example with forcing (pointed out below) and with the famous Borel Conjecture. Here are a few examples of such families \mathcal{A} :

For a topological space X and an element $x \in X$, define $\Omega_x = \{A \subset X \setminus \{x\} : x \in \bar{A}\}$. According to [10] X has strong countable fan tightness at x if the selection principle $S_1(\Omega_x, \Omega_x)$ holds. Consider for a Tychonoff space X the subspace of the Tychonoff product $\prod_{x \in X} \mathbb{R}$ consisting of the continuous functions from X to \mathbb{R} . The symbol $C_p(X)$ denotes this subspace with the inherited topology. Since $C_p(X)$ is homogeneous, the truth of $S_1(\Omega_f, \Omega_f)$ at some point f implies the truth of $S_1(\Omega_f, \Omega_f)$ at any point f . Thus we may confine attention to Ω_o , where o is the function which is zero on X . Using the techniques above one can prove:

Theorem 22. *For a Tychonoff space X the following are equivalent for $C_p(X)$:*

- (1) $S_1(\Omega_o, \Omega_o)$.
- (2) $E(\Omega_o, \Omega_o)$.
- (3) $GP(\Omega_o, \Omega_o)$.
- (4) $FG(\Omega_o, \Omega_o)$.
- (5) $NW(\Omega_o, \Omega_o)$.
- (6) *For all n and k , $\Omega_o \rightarrow (\Omega_o)_k^n$.*

For a topological space X let \mathcal{D} denote the collection whose members are of the form \mathcal{U} , a family of open subsets of X , such that no element of \mathcal{U} is dense in X , but $\bigcup \mathcal{U}$ is dense in X . And let \mathcal{D}_Ω be the set of $\mathcal{U} \in \mathcal{D}$ such that for each finite family \mathcal{F} of nonempty open subsets of X there is a $U \in \mathcal{U}$ with $U \cap F \neq \emptyset$ for each $F \in \mathcal{F}$. The families \mathcal{D} and \mathcal{D}_Ω were considered in [14] where it was proved that for X a set of real numbers, and $PR(X)$ the Pixley–Roy space over X , the following holds:

Theorem 23. *If X is a set of real numbers, the following are equivalent for $PR(X)$:*

- (1) $S_1(\mathcal{D}_\Omega, \mathcal{D}_\Omega)$.
- (2) *ONE has no winning strategy in the game $G_1(\mathcal{D}_\Omega, \mathcal{D}_\Omega)$.*
- (3) *For each n and k $\mathcal{D}_\Omega \rightarrow (\mathcal{D}_\Omega)_k^n$.*

Each of these statements is equivalent to X having $S_1(\Omega_X, \Omega_X)$.

Using the techniques above one can prove:

Theorem 24. *For a set X of reals the following are equivalent for $PR(X)$:*

- (1) $S_1(\mathcal{D}_\Omega, \mathcal{D}_\Omega)$.
- (2) $E(\mathcal{D}_\Omega, \mathcal{D}_\Omega)$.
- (3) $GP(\mathcal{D}_\Omega, \mathcal{D}_\Omega)$.
- (4) $FG(\mathcal{D}_\Omega, \mathcal{D}_\Omega)$.
- (5) $NW(\mathcal{D}_\Omega, \mathcal{D}_\Omega)$.
- (6) *For all n and k , $\mathcal{D}_\Omega \rightarrow (\mathcal{D}_\Omega)_k^n$.*

For a noncompact topological space X call an open cover \mathcal{U} a k -cover if there is for each compact $C \subset X$ a $U \in \mathcal{U}$ such that $C \subseteq U$, and if $X \notin \mathcal{U}$. Let \mathcal{K} denote the collection of k -covers of such an X . If X is a separable metric space then each member of \mathcal{K} has a countable subset which still is a member of \mathcal{K} . Using the techniques above one can prove:

Theorem 25. *For separable metric spaces X the following are equivalent:*

- (1) *ONE has no winning strategy in $G_1(\mathcal{K}, \mathcal{K})$.*
- (2) $S_1(\mathcal{K}, \mathcal{K})$.
- (3) $E(\mathcal{K}, \mathcal{K})$.
- (4) $GP(\mathcal{K}, \mathcal{K})$.
- (5) $FG(\mathcal{K}, \mathcal{K})$.

- (6) $\text{NW}(\mathcal{K}, \mathcal{K})$.
- (7) For all n and k , $\mathcal{K} \rightarrow (\mathcal{K})_k^n$.

The equivalence of (2) and (7) for $n = 2$ and $k = 2$ is Theorem 8 of [2]. The equivalence of (1) and (2) is a result of [11]. The remaining equivalences are then derived as was done above for Ω .

A collection \mathcal{C} of subsets of a set S is said to be a *combinatorial ω -cover* of S if $S \notin \mathcal{C}$, but for each finite subset F of S there is a $C \in \mathcal{C}$ with $F \subseteq C$. For an infinite cardinal number κ let Ω_κ be the set of *countable* combinatorial ω -covers of κ . Let $\text{cov}(\mathcal{M})$ be the least infinite cardinal number κ such that the real line is a union of κ first category sets. By the Baire Category Theorem $\text{cov}(\mathcal{M})$ is uncountable. Using the techniques of this paper one can prove:

Theorem 26. For an infinite cardinal number κ the following are equivalent:

- (1) $\kappa < \text{cov}(\mathcal{M})$.
- (2) $\text{S}_1(\Omega_\kappa, \Omega_\kappa)$.
- (3) $\text{E}(\Omega_\kappa, \Omega_\kappa)$.
- (4) $\text{GP}(\Omega_\kappa, \Omega_\kappa)$.
- (5) $\text{FG}(\Omega_\kappa, \Omega_\kappa)$.
- (6) $\text{NW}(\Omega_\kappa, \Omega_\kappa)$.
- (7) For all positive integers n and k , $\Omega_\kappa \rightarrow (\Omega_\kappa)_k^n$.

4. Rothberger's property and forcing

Now we explore the connections between forcing and Rothberger's property. Much of this part of the paper is inspired by Theorem 9.3 of [1].

We begin by defining the following version of the well-known *Mathias reals* partially ordered set. Fix as before a countable ω -cover A of X , and enumerate it bijectively as $(a_n: n \in \mathbb{N})$. For $s \subset A$ finite, and $C \subset A \setminus s$ with $C \in \Omega_X$, define:

$$\mathcal{M}_A := \{(s, C): s \in [A]^{<\aleph_0} \text{ and } C \subset A \setminus s \text{ and } C \in \Omega_X\}.$$

For (s_1, C_1) and (s_2, C_2) elements of \mathcal{M}_A , we define $(s_1, C_1) < (s_2, C_2)$ if: $s_2 \subset s_1$ and $C_1 \subset C_2$ and $s_1 \setminus s_2 \subset C_2 \setminus s_2$.

Now $(\mathcal{M}_A, <)$ is a partially ordered set. Its combinatorial and forcing properties are related to the combinatorial properties of ω -covers of X . In this section we will show (see Theorem 9.3 of [1]):

Theorem 27. The following are equivalent:

- (1) $\text{S}_1(\Omega_X, \Omega_X)$ holds.
- (2) For each countable $A \in \Omega_X$, for each sentence ψ in the \mathcal{M}_A -forcing language, and for each $(s, B) \in \mathcal{M}_A$, there is a $C \subset B$ with $C \in \Omega_X$ such that $(s, C) \Vdash \psi$, or $(s, C) \Vdash \neg \psi$.

4.1. Proof of (1) \Rightarrow (2)

Fix a sentence ψ of the \mathcal{M}_A -forcing language and fix $(s, B) \in \mathcal{M}_A$. Define the subsets

$$\mathcal{W} = \bigcup \{[t, C]: (t, C) \in \mathcal{M}_A \text{ and } (t, C) \Vdash \psi\}$$

and

$$\mathcal{D} = \bigcup \{[t, C]: (t, C) \in \mathcal{M}_A \text{ and } (t, C) \Vdash \neg \psi\}.$$

Then \mathcal{W} and \mathcal{D} are open sets in the Ellentuck topology on $[A]^{\aleph_0} \cap \Omega_X$. Moreover, by Corollary VII.3.7(a) of [8], $\mathcal{R} = \mathcal{W} \cup \mathcal{D}$ is dense. By Theorem 17, \mathcal{R} , \mathcal{W} and \mathcal{D} are completely Ramsey. Thus, for the given $(s, B) \in \mathcal{M}_A$ there is a $B_1 \in [B]^{\aleph_0} \cap \Omega_X$ such that $[s, B_1] \subset \mathcal{R}$, or $[s, B_1] \cap \mathcal{R} = \emptyset$; since \mathcal{R} is dense and $[s, B_1]$ is nonempty and open we have $[s, B_1] \subset \mathcal{R}$. But now \mathcal{W} is completely Ramsey and so there is a $B_2 \in [B_1]^{\aleph_0} \cap \Omega_X$ with $[s, B_2] \subset \mathcal{W}$, or $[s, B_2] \cap \mathcal{W} = \emptyset$. Since $[s, B_2] \subset [s, B_1]$, we have that $[s, B_2] \subset \mathcal{W}$ or $[s, B_2] \subset \mathcal{D}$. In either case we have $(s, B_2) \Vdash \psi$, or $(s, B_2) \Vdash \neg \psi$.

4.2. Proof of (2) \Rightarrow (1)

This proof takes more work. We show that in fact (2) implies that $\Omega_X \rightarrow (\Omega_X)_2^2$ holds. To see this, assume on the contrary that $\Omega_X \rightarrow (\Omega_X)_2^2$ fails. Choose a countable $A \in \Omega_X$ and a function $f: [A]^2 \rightarrow \{0, 1\}$ which witness this failure. Enumerate A bijectively as $(a_n: n \in \mathbb{N})$ and build the following corresponding partition tree.

$T_\emptyset = A$. $T_{(i)} := \{a_n: n > 1 \text{ and } f(\{a_1, a_n\}) = i\}$. For $\sigma \in {}^{<\omega}\{0, 1\}$ of length m for which $T_\sigma \in \Omega_X$, $T_{\sigma \smallfrown (i)} := \{a_n \in T_\sigma: n > m \text{ and } f(\{a_m, a_n\}) = i\}$.

Observe that for each σ with $T_\sigma \in \Omega_X$ we have $T_{\sigma \smallfrown (0)} \in \Omega_X$ or $T_{\sigma \smallfrown (1)} \in \Omega_X$. For each n , define $\mathcal{T}_n := \{T_\sigma \in \Omega_X : \text{length}(\sigma) = n\}$. Then we have from the definitions that:

- (1) For each $B \in [A]^{\aleph_0} \cap \Omega_X$ and for each n there is a $T \in \mathcal{T}_n$ with $B \cap T \in \Omega_X$.
- (2) For each n , for each $T \in \mathcal{T}_{n+1}$ there is a unique $T' \in \mathcal{T}_n$ with $T \subset T'$.
- (3) For each n and σ , if $a_n \in T_\sigma$, then $n > m = \text{length}(\sigma)$.

Claim 1. If there is a $B \in [A]^{\aleph_0} \cap \Omega_X$ such that for each n there is a $T \in \mathcal{T}_n$ with $B \setminus \{a_j : j \leq n\} \subset T$, then there is a $C \in [A]^{\aleph_0} \cap \Omega_X$ such that f is constant on $[C]^2$.

For let such a B be given. Since the elements of \mathcal{T}_1 are pairwise disjoint, choose the unique $i_1 \in \{0, 1\}$ with $B \setminus \{a_1\} \subset T_{(i_1)}$. Letting T be the unique element of \mathcal{T}_2 with $B \setminus \{a_1, a_2\} \subset T$, we see that $T \subset T_{(i_1)}$, and so for a unique $i_2 \in \{0, 1\}$, $B \setminus \{a_1, a_2\} \subset T_{(i_1, i_2)}$. Arguing like this we find an infinite sequence $(i_j : j < \infty)$ in ${}^{\mathbb{N}}\{0, 1\}$ such that for each m , $B \setminus \{a_1, \dots, a_m\} \subset T_{(i_1, \dots, i_m)}$.

Write $B = \{a_{n_j} : j \in \mathbb{N}\}$ where $n_i < n_j$ whenever $i < j$. Put $B_1 = \{a_{n_j} : i_{n_j} = 1\}$ and $B_0 = \{a_{n_j} : i_{n_j} = 0\}$. Then $B_0 \in \Omega_X$, or $B_1 \in \Omega_X$. In the former case f is constant of value 0 on $[B_0]^2$, and in the latter case f is constant of value 1 on $[B_1]^2$. This completes the proof of Claim 1.

Note that the conclusion of Claim 1 holds also if instead we hypothesize that $B \in [A]^{\aleph_0} \cap \Omega_X$ is such that for each n with $a_n \in B$ there is a $T \in \mathcal{T}_n$ with $B \setminus \{a_j : j \leq n\} \subset T$.

Since we are assuming that there is no $B \in [A]^{\aleph_0} \cap \Omega_X$ with f constant on $[B]^2$, we get: There is no $B \in [A]^{\aleph_0} \cap \Omega_X$ such that for each n with $a_n \in B$ there is a $T \in \mathcal{T}_n$ with $B \setminus \{a_j : j \leq n\} \subset T$. Indeed, this is equivalent to:

For each $B \in [A]^{\aleph_0} \cap \Omega_X$ there is an n with $a_n \in B$ but for each $T \in \mathcal{T}_n$ we have $B \setminus \{a_j : j \leq n\} \not\subset T$.

In what follows we will use \dot{a} to denote the canonical name of the ground model object a in the forcing language. Define the \mathcal{M}_A -name

$$\Gamma := \{(\dot{a}_n, (s, B)) : (s, B) \in \mathcal{M}_A \text{ and } a_n \in s\}.$$

Then for each \mathcal{M}_A -generic filter G we have

$$\Gamma_G = \bigcup \{s \in [A]^{<\aleph_0} : (\exists B \in [A]^{\aleph_0} \cap \Omega_X)((s, B) \in G)\}.$$

Claim 2. $(\emptyset, A) \Vdash \neg(\exists n)(\forall T \in \dot{\mathcal{T}}_n)(\Gamma \setminus \{\dot{a}_j : j \leq n\} \not\subseteq T)$.

For suppose that on the contrary $(s, B) \Vdash \neg(\forall n)(\exists T \in \dot{\mathcal{T}}_n)(\Gamma \setminus \{\dot{a}_j : j \leq n\} \subseteq T)$. Since we have $B \in [A]^{\aleph_0} \cap \Omega_X$, choose an n_1 so that $B \setminus \{a_j : j \leq n_1\}$ is not a subset of any $T \in \mathcal{T}_{n_1}$. Then choose a $T_{n_1} \in \mathcal{T}_{n_1}$ so that $B \cap T_{n_1} \in \Omega_X$. Also choose $a_m \in B \setminus (T_{n_1} \cup \{a_j : j \leq n_1\})$. Put $B' = B \setminus \{a_j : j \leq m_1\}$ and put $t = s \cup \{a_m\}$. Then as $(s, B) \Vdash \neg \dot{\mathcal{T}}_{n_1}$ is a disjoint family" and $(t, B' \cap T_{n_1}) \Vdash \neg(\Gamma \setminus \{\dot{a}_j : j \leq \dot{n}_1\}) \cap \dot{T}_{n_1} \neq \emptyset$.

$$(t, B' \cap T_{n_1}) \Vdash \neg \Gamma \setminus \{\dot{a}_j : j \leq \dot{n}_1\} \subset \dot{T}_{n_1}. \quad (1)$$

But evidently we also have

$$(t, B' \cap T_{n_1}) \Vdash \dot{a}_m \in (\Gamma \setminus \{\dot{a}_j : j \leq \dot{n}_1\}) \setminus \dot{T}_{n_1}. \quad (2)$$

Thus we have a condition forcing contradictory statements, a contradiction. It follows that Claim 2 holds.

Now we construct a sentence $\Psi(\Gamma)$ in the forcing language:

$$\neg \Gamma \cap \{\dot{a}_j : j < n\} \text{ is even for the least } n \text{ with } \dot{a}_n \in \Gamma \text{ and for all } T \in \dot{\mathcal{T}}_n \Gamma \setminus \{\dot{a}_j : j \leq n\} \not\subseteq T.$$

By hypothesis (2) of the theorem, choose a $B \in [A]^{\aleph_0} \cap \Omega_X$ such that (\emptyset, B) decides $\Psi(\Gamma)$.

Choose k_1 minimal so that $a_{k_1} \in B$ and for each $T \in \mathcal{T}_{k_1}$ we have $B \setminus \{a_j : j \leq k_1\} \not\subseteq T$. Put $B_1 = B \setminus \{a_j : j \leq k_1\}$ and choose $T_{k_1} \in \mathcal{T}_{k_1}$ so that $C_1 := B_1 \cap T_{k_1} \in \Omega_X$. Choose ℓ_1 so that $a_{\ell_1} \in B_1 \setminus T_{k_1}$. Then $(\{a_{k_1}, a_{\ell_1}\}, C_1) < (\emptyset, B)$ and so also $(\{a_{k_1}, a_{\ell_1}\}, C_1)$ decides $\Psi(\Gamma)$.

By the construction of C_1 we see that for $T' \in \mathcal{T}_{k_1} \setminus \{T_{k_1}\}$, also $(\{a_{k_1}, a_{\ell_1}\}, C_1) \Vdash \neg \Gamma \setminus \{\dot{a}_j : j \leq k_1\} \not\subseteq T'$. And since $a_{\ell_1} \notin T_{k_1}$ we also have $(\{a_{k_1}, a_{\ell_1}\}, C_1) \Vdash \neg \Gamma \setminus \{\dot{a}_j : j \leq k_1\} \not\subseteq T_{k_1}$. Moreover, $(\{a_{k_1}, a_{\ell_1}\}, C_1) \Vdash \neg \Gamma \cap \{\dot{a}_j : j < k_1\} = \emptyset$. Since k_1 was chosen minimal and $a_{k_1} \in B$, the least n having the properties of k_1 is k_1 . It follows that $(\{a_{k_1}, a_{\ell_1}\}, C_1) \Vdash \Psi(\Gamma)$, and as

(\emptyset, B) already decides $\Psi(\Gamma)$, we have

$$(\emptyset, B) \Vdash \Psi(\Gamma). \quad (3)$$

Now repeat the previous construction starting with C_1 in place of B . Choose k_2 minimal so that $a_{k_2} \in C_1$ and for each $T \in \mathcal{T}_{k_2}$ we have $C_1 \setminus \{a_j : j \leq k_2\} \not\subseteq T$. Since $a_{k_1} \notin C_1$, we have $k_2 > k_1$. Put $B_2 = C_1 \setminus \{a_j : j \leq k_2\}$ and choose $T_{k_2} \in \mathcal{T}_{k_2}$ so that $C_2 := B_2 \cap T_{k_2} \in \mathcal{Q}_X$. Choose ℓ_2 so that $a_{\ell_2} \in B_2 \setminus T_{k_2}$. Then $(\{a_{k_1}, a_{k_2}, a_{\ell_2}\}, C_2) < (\emptyset, B)$ and so also $(\{a_{k_1}, a_{k_2}, a_{\ell_2}\}, C_2)$ decides $\Psi(\Gamma)$. By the construction of C_2 we see that for $T' \in \mathcal{T}_{k_2} \setminus \{T_{k_2}\}$, also $(\{a_{k_1}, a_{k_2}, a_{\ell_2}\}, C_2) \Vdash \neg \Gamma \setminus \{a_j : j \leq k_2\} \not\subseteq T'$. And since $a_{\ell_2} \notin T_{k_2}$ we also have $(\{a_{k_1}, a_{k_2}, a_{\ell_2}\}, C_2) \Vdash \neg \Gamma \setminus \{a_j : j \leq k_2\} \not\subseteq T_{k_2}$. By minimality of k_2 and the fact that $a_{k_2} \in C_2$, we get that the minimal n with these properties of k_2 is k_2 . However, $(\{a_{k_1}, a_{k_2}, a_{\ell_2}\}, C_2) \Vdash \neg \Gamma \cap \{a_j : j \leq k_2\} = \{a_{k_1}\}$. This means that $(\{a_{k_1}, a_{k_2}, a_{\ell_2}\}, C_2) \Vdash \neg \Psi(\Gamma)$. Since $(\{a_{k_1}, a_{k_2}, a_{\ell_2}\}, C_2) < (\emptyset, B)$ and (\emptyset, B) already decides $\Psi(\Gamma)$, we find that

$$(\emptyset, B) \Vdash \neg \Psi(\Gamma). \quad (4)$$

Since (3) and (4) yield a contradiction, the hypothesis that $\mathcal{Q} \rightarrow (\mathcal{Q})_2^2$ fails is false. This completes the proof of $(2) \Rightarrow (1)$ of Theorem 27.

Remark. The above result is again given for \mathcal{Q} , but a study of the proofs will reveal that these equivalences hold for several other families \mathcal{A} , including the examples mentioned earlier. Theorem 27 has several consequences that will be explored elsewhere. One of the mentionable consequences is that forcing with \mathcal{M}_A preserves cardinals, and in the generic extension the only groundmodel sets of reals having $S_1(\mathcal{Q}, \mathcal{Q})$ are the countable sets. And a countable support iteration of length \aleph_2 over a ground model satisfying the Continuum Hypothesis gives a model of Borel's Conjecture, just like the usual Mathias reals iteration does—[1].

In closing: Analogous results can be proved for the selection principle $S_{\text{fin}}(\mathcal{A}, \mathcal{A})$ and its relatives. These will be reported elsewhere.

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Appendix A. Regarding Theorem 21

Strictly speaking, the only equivalence that has been explicitly proved in the literature is the equivalence of $S_1(\mathcal{Q}, \mathcal{Q})$ with $\mathcal{Q} \rightarrow (\mathcal{Q})_2^2$, with the remark that the techniques used to prove this case yield by an induction the full version that for all finite n and k we have $\mathcal{Q} \rightarrow (\mathcal{Q})_k^n$. It is perhaps worth putting down the main elements of such an argument explicitly for future reference. The only implication we need to prove is the implication that $\mathcal{Q} \rightarrow (\mathcal{Q})_2^2$ implies that for all n and k $\mathcal{Q} \rightarrow (\mathcal{Q})_k^n$.

Claim 1. $\mathcal{Q} \rightarrow (\mathcal{Q})_2^2$ implies that for each $k > 1$, $\mathcal{Q} \rightarrow (\mathcal{Q})_k^2$.

This can be done by induction on $k + 1$. For $k = 1$ this is the hypothesis. Assuming we have proven the implication for $j \leq k$, consider a countable ω -cover \mathcal{U} of X and a coloring $f : [\mathcal{U}]^2 \rightarrow \{1, \dots, k + 1, k + 2\}$. Define a new coloring g so that

$$g(\{U, V\}) = \begin{cases} f(\{U, V\}) & \text{if } f(\{U, V\}) < k + 1, \\ k + 1 & \text{otherwise.} \end{cases}$$

Applying the induction hypothesis we find an ω -cover $\mathcal{V} \subset \mathcal{U}$ and an $i \in \{1, \dots, k + 1\}$ such that $g(\{U, V\}) = i$ for all $\{U, V\} \in \mathcal{V}^2$. If $i < k + 1$ then indeed \mathcal{V} works for f . Else, \mathcal{V} is an ω -cover on whose pairs f takes values $k + 1$ or $k + 2$, and now apply $\mathcal{Q} \rightarrow (\mathcal{Q})_2^2$.

Claim 2. For $n > 2$ and $k > 1$, $\mathcal{Q} \rightarrow (\mathcal{Q})_k^n$ implies $\mathcal{Q} \rightarrow (\mathcal{Q})_k^2$.

This can be done by starting with a countable ω -cover \mathcal{U} and a coloring $f : [\mathcal{U}]^2 \rightarrow \{1, \dots, k\}$. Enumerate \mathcal{U} bijectively as $\{U_m : m \in \mathbb{N}\}$. Define $g : [\mathcal{U}]^n \rightarrow \{1, \dots, k\}$ by

$$g(\{U_{i_1}, \dots, U_{i_n}\}) = f(\{U_{i_1}, U_{i_2}\}),$$

where we list the n -tuples according to increasing index in the chosen enumeration. Apply $\Omega \rightarrow (\Omega)_k^n$.

Claim 3. For $n > 1$ and $k > 1$, $\Omega \rightarrow (\Omega)_k^n$ implies $\Omega \rightarrow (\Omega)_k^{n+1}$.

To prove this we use the fact that For $n > 1$ and $k > 1$, $\Omega \rightarrow (\Omega)_k^n$ implies $\Omega \rightarrow (\Omega)_2^2$, which in turn implies that ONE has no winning strategy in the game $G_1(\Omega, \Omega)$.

Let a countable ω -cover \mathcal{U} be given, as well as $f: [\mathcal{U}]^{n+1} \rightarrow \{1, \dots, k\}$. Enumerate \mathcal{U} bijectively as $\{U_m: m \in \mathbb{N}\}$. Define a strategy F for ONE in the game $G_1(\Omega, \Omega)$ as follows:

Fix U_1 and define

$$g_1: [\mathcal{U} \setminus \{U_1\}]^n \rightarrow \{1, \dots, k\}$$

by $g_1(\mathcal{V}) = f(\{U_1\} \cup \mathcal{V})$. Using $\Omega \rightarrow (\Omega)_k^n$, fix an $i_1 \in \{1, \dots, k\}$ and an ω -cover $\mathcal{U}_1 \subset \mathcal{U}$ such that $g_1(\mathcal{V}) = i_1$ for each $\mathcal{V} \in [\mathcal{U}_1]^n$. Declare ONE's move to be $F(\emptyset) = \mathcal{U}_1$.

When TWO responds with $T_1 = U_{n_1} \in F(\emptyset)$, ONE first defines

$$g_2: [\mathcal{U}_1 \setminus \{U_j: j \leq n_1\}]^n \rightarrow \{1, \dots, k\}$$

by $g_2(\mathcal{V}) = f(\{U_{n_1}\} \cup \mathcal{V})$. Then, using $\Omega \rightarrow (\Omega)_k^n$, fix an $i_{n_1} \in \{1, \dots, k\}$ and an ω -cover $\mathcal{U}_2 \subset \mathcal{U}_1 \setminus \{U_j: j \leq n_1\}$ such that $g_2(\mathcal{V}) = i_{n_1}$ for each $\mathcal{V} \in [\mathcal{U}_2]^n$. Declare ONE's move to be $F(T_1) = \mathcal{U}_2$.

When TWO responds with $T_2 = U_{n_2} \in F(T_1)$, ONE first defines

$$g_3: [\mathcal{U}_2 \setminus \{U_j: j \leq n_2\}]^n \rightarrow \{1, \dots, k\}$$

by $g_3(\mathcal{V}) = f(\{U_{n_2}\} \cup \mathcal{V})$. Then, using $\Omega \rightarrow (\Omega)_k^n$, fix an $i_{n_2} \in \{1, \dots, k\}$ and an ω -cover $\mathcal{U}_3 \subset \mathcal{U}_2 \setminus \{U_j: j \leq n_2\}$ such that $g_3(\mathcal{V}) = i_{n_2}$ for each $\mathcal{V} \in [\mathcal{U}_3]^n$. Declare ONE's move to be $F(T_1, T_2) = \mathcal{U}_3$.

This describes ONE's strategy in this game. Since it is not winning for ONE, we find a play $F(\emptyset), T_1, F(T_1), T_2, F(T_1, T_2), T_3, \dots$ which is lost by ONE. Associated with this play we have an increasing infinite sequence $n_1 < n_2 < \dots < n_k < \dots$ for which $T_k = U_{n_k}$, all k , and a sequence $i_{n_k}, k \in \mathbb{N}$ of elements of $\{1, \dots, k\}$, and a sequence $\mathcal{U}_n, n \in \mathbb{N}$, of ω -covers such that:

- (1) For each m , $T_m = U_{n_m} \in \mathcal{U}_m \subset \mathcal{U}_{m-1} \setminus \{U_{n_j}: j \leq m-1\}$.
- (2) For each m , $f(\{T_m\} \cup \mathcal{V}) = i_{n_m}$ whenever $\mathcal{V} \in [\mathcal{U}_{m+1}]^n$.
- (3) $\{T_m: m \in \mathbb{N}\} \subset \mathcal{U}$ is an ω -cover.

Fix an i such that $\mathcal{W} = \{T_m: i_{n_m} = i \text{ and } m > n\}$ is an ω -cover. Then for each $\mathcal{V} \in [\mathcal{W}]^{n+1}$ we have $f(\mathcal{V}) = i$.

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